Payment Card Industry (PCI)
Data Security Standard

Frequently Asked Questions for
Designated Entities Supplemental Validation

June 2015
FAQs for Designated Entities Supplemental Validation

Q1: What is a Designated Entity?
   
   A: A Designated Entity is determined by an Acquirer or Payment Brand as an organization that requires additional validation to existing PCI DSS requirements.

Q2: How will I know if my organization has been deemed a Designated Entity?

   A: Your Acquirer and/or Payment Brand(s) will manage communications about which organizations are a Designated Entity as part of their compliance programs.

Q3: Why was the Designated Entities Supplemental Validation (DESV) created?

   A: Analysis of recent cardholder data breaches and PCI DSS compliance trends has revealed that many organizations view PCI DSS compliance as a periodic exercise and do not have processes in place to ensure that PCI DSS security controls are continuously enforced. This can result in lapses in security controls between validation assessments. PCI DSS compliance is an ongoing process that must be incorporated into an entity’s overall security strategy, and the DESV was created to provide a means for entities to assess and document how they are maintaining PCI DSS controls on a continual basis.

Q4: What are the requirements within the Designated Entities Supplemental Validation (DESV)?

   A: The DESV is a series of additional validation procedures to provide greater insight into and assurance that an organization’s PCI DSS controls are being effectively maintained through validation of Business-as-Usual (BAU) processes, and increased validation and scoping consideration.

Q5: Can I use the DESV even if I’m not a Designated Entity?

   A: Yes. The DESV can be used to complement any entity’s PCI DSS compliance efforts, and all entities are encouraged to follow the DESV as a best practice, even if not required to validate.

Q6: As a Designated Entity, am I expected to comply with all requirements in the DESV?

   A: Yes, it is the intent that a Designated Entity comply with all requirements in the DESV. However, entities should check with their Acquirer and/or the Payment Brands directly as they may have different compliance validation expectations as part of their individual compliance programs.

Q7: How does the DESV impact my PCI DSS assessment?

   A: Typically, the supplemental validation would be performed in conjunction with a full PCI DSS assessment. Designated Entities should contact their Acquirer and/or Payment Brand(s) with any questions about completing and submitting reports on compliance.

Q8: How do I report my DESV assessment?

   A: Supplemental validation would typically be performed in conjunction with a full PCI DSS assessment. The PCI DSS ROC Reporting Template and AOC cover aspects of the supplemental validation (for example, in the Scope of Work and Details of Reviewed Environment sections). However, there is also a supplemental ROC Reporting Template (S-ROC) and a supplemental Attestation of Compliance (S-AOC) that are specific to supplemental validations, and these need to be completed in addition to the PCI DSS ROC and AOC respectively. Designated Entities should contact their Acquirer and/or the Payment Brand(s) directly with any questions about how to complete and submit these documents.