



COMPLIANCE IS A PROGRAM, NOT A PROJECT

Brian Odian - Director Managed Compliance Services



COMPLEXITY REQUIRES MAINTENANCE

COMPLEXITY LEADS TO A
REQUIREMENT FOR
MAINTENANCE



CORROSION COULD EASILY OCCUR

“ PROTECTION FROM CORROSION IS THE MOST IMPORTANT FACTOR IN CONSERVING THE STRUCTURE

TRANSPORT NSW

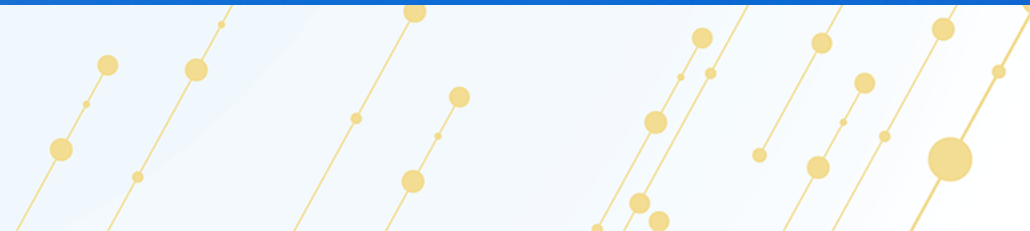


CHANGE IS CONSTANT



“ TO IMPROVE IS TO CHANGE,
SO TO BE PERFECT IS TO
HAVE CHANGED OFTEN.

WINSTON CHURCHILL



MAINTAINING COMPLIANCE

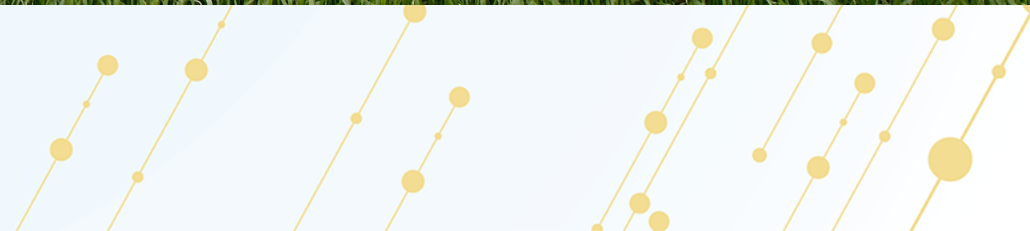
A LATE RUN UP
HEARTBREAK HILL



FLATTEN THE CURVE

“ LET OUR ADVANCE WORRYING BECOME
ADVANCE **THINKING AND PLANNING.**

WINSTON CHURCHILL



ENFORCEMENT OF COMPLIANCE



- Business and cyber leaders support effective **enforcement of regulatory requirements.**
- 76% of business leaders and 70% of cyber leaders agreed that further enforcement would lead to an increase in their organization's cyber resilience.

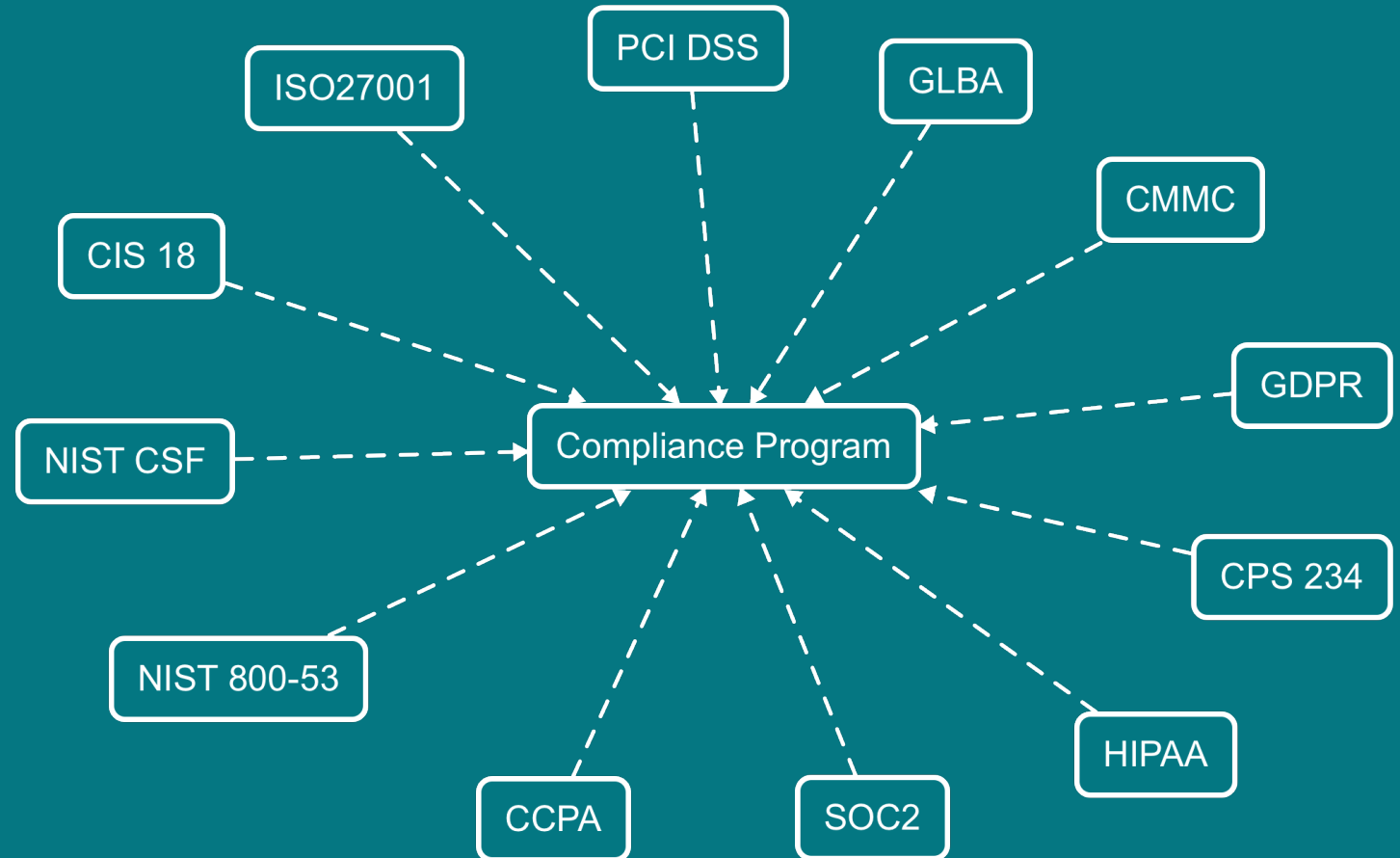
• **World Economic Forum Global Cybersecurity Outlook Report 2023**

THE SEEDS FOR ENFORCEMENT

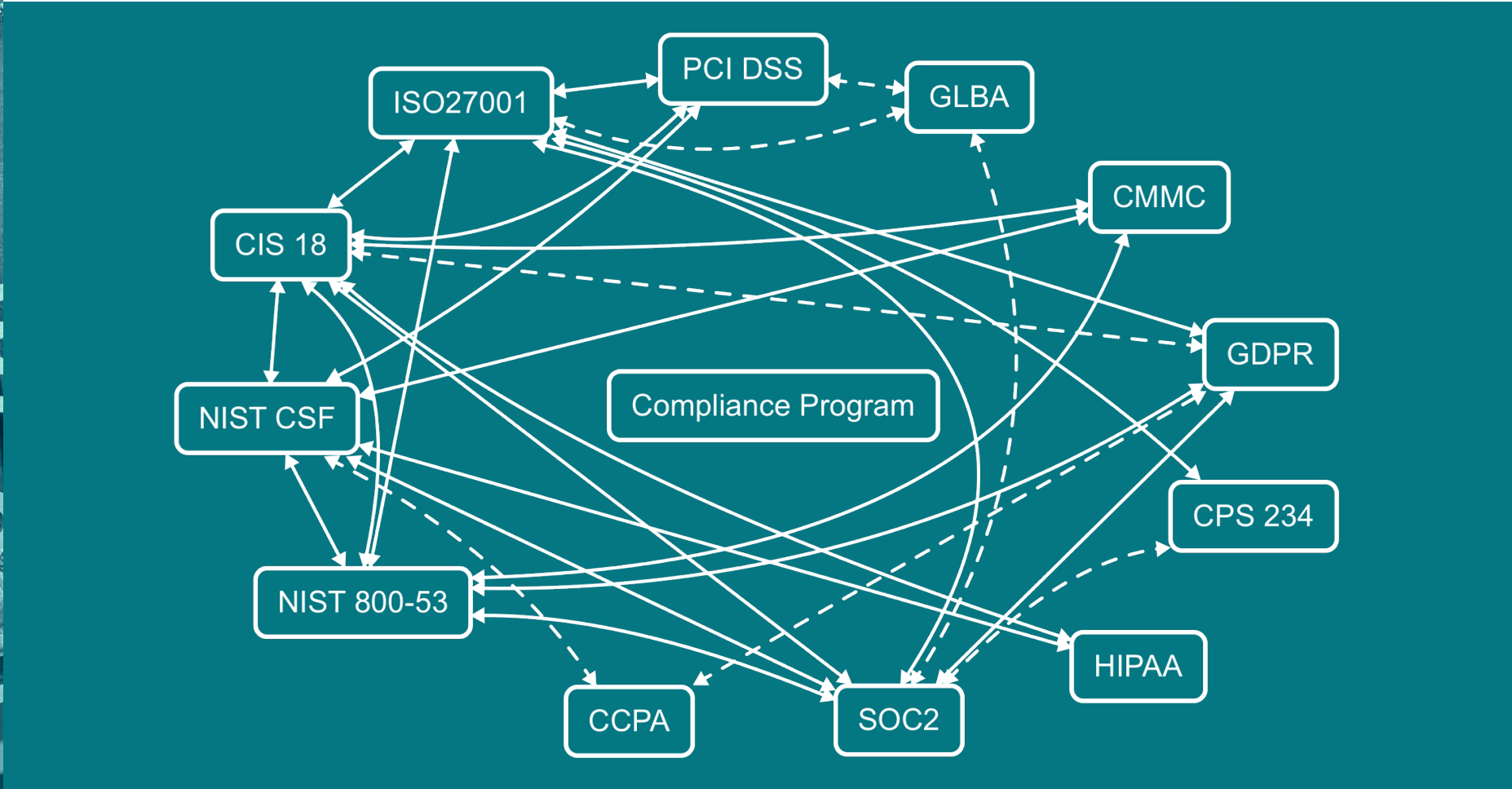


- The Federal Trade Commission sued a hotel chain and its subsidiaries in 2012.
- The company had to protect cardholder data and conduct related information security audits annually for the next 20 years.
- The Court of Appeals affirmed the FTC’s right to challenge unreasonable data security practices.
- Regulatory authorities have been “growing teeth” for some time now.

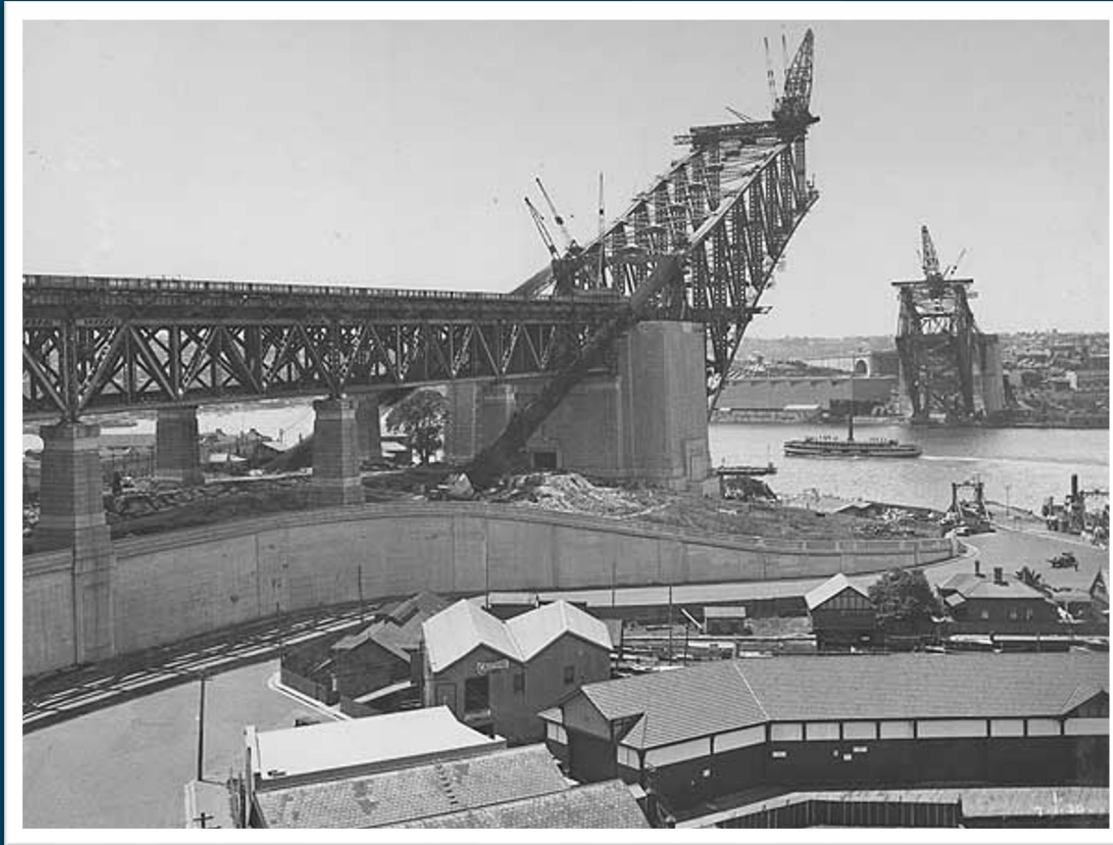
WHAT MAKES UP YOUR PROGRAM?



WHAT MAKES UP YOUR PROGRAM?



PROGRAM MATURITY – INITIAL/MINIMAL



Courtesy of MHNSW - State Archives Collection

Processes are disorganized and success is likely to depend on individual efforts.



PROGRAM MATURITY – REPEATABLE

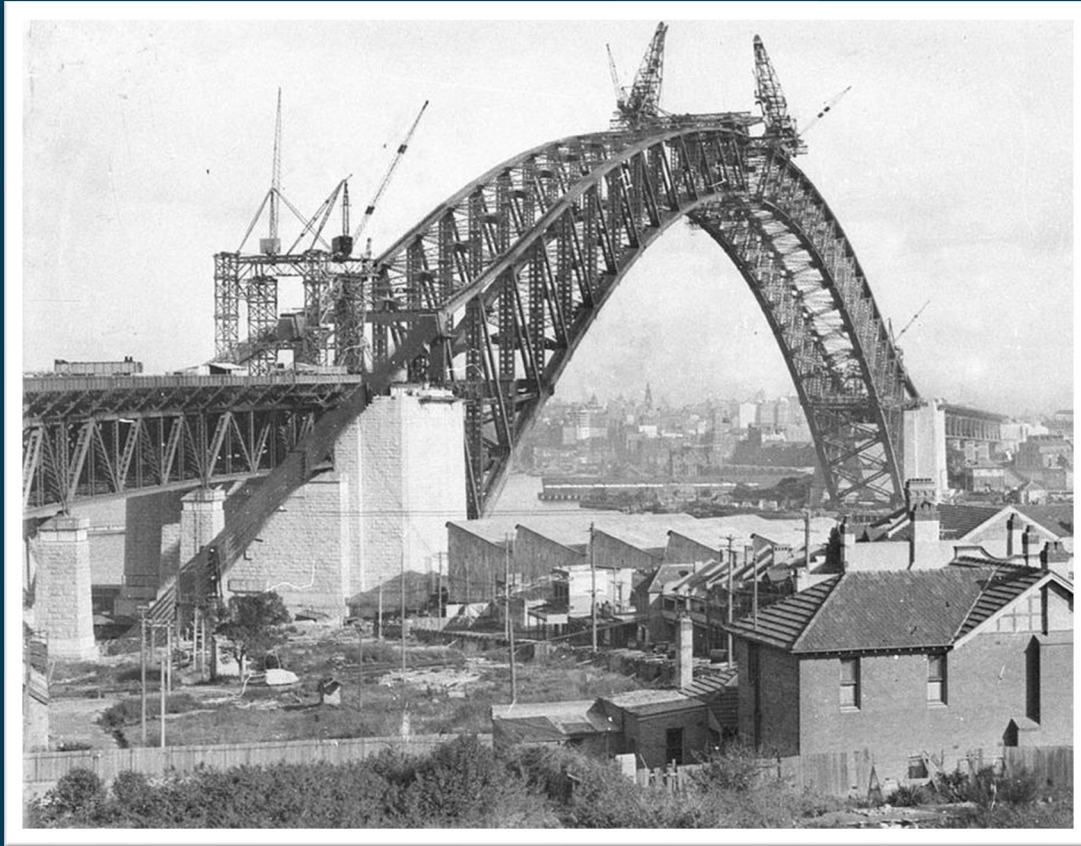


Courtesy of MHNSW - State Archives Collection

Basic project management techniques are established, and successes could be repeated. Still often reactive.



PROGRAM MATURITY – DEFINED



Public Domain

An organization has developed its own standard process through attention to documentation, standardization, and integration.



PROGRAM MATURITY – MANAGED



Courtesy of MHNSW - State Archives Collection

An organization monitors and controls its own processes through data collection and analysis.



PROGRAM MATURITY – OPTIMIZED



Public Domain

Processes are constantly being improved through monitoring feedback from current processes.



IMPEDIMENTS TO SUCCESS



- Lack of leadership support.
- Running multiple compliance streams independent of each other.
- Weak communications and staff education.
- Insufficient resources.
- Viewing compliance as a point in time activity.
- Allowing broad or ongoing exceptions.
- Lack of tools to facilitate compliance.
- Not planning for changes in standards.

MAINTAIN SUCCESS



THANK YOU



VIKINGCLOUD™